AO 257 (Řev. 6/78)

S250,000 fine (18 U.S.C § 3571(b)(3)) 3 years supervised release (18 U.S.C § 3582(b)(2)) \$100 special assessment (18 U.S.C § 3013(a)(2)(A))  PROCEEDING  Name of Complaintant Agency, or Person (& Title, if any)  FEDERAL BUREAU OF INVESTIGATION  person is awaiting trial in another Federal or State Court, give name of court  this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District  this is a reprosecution of charges previously dismissed which were dismissed on motion of:  U.S. ATTORNEY □ DEFENSE  this prosecution relates to a □ pending case involving this same defendant were recorded under  MAGISTRATE CASE NO.  Name and Office of Person  DEFENDANT  IS NOT IN CUSTODY  1 S a Fugitive  3 □ Is on Bail or Release from (show District)  IS IN CUSTODY  4 □ On this charge  5 □ On another conviction □ Federal □ State  Fanswer to (6) is "Yes", show name of institution  Has detainer □ Yes give date filed? □ No Month/Day/Year ARREST  Or if Arresting Agency & Warrant were not  DATE TRANSFERRED Month/Day/Year	DEFENDANT INFORMATION BELATIVE TO	A CDIMINAL ACTION IN ILE DISTRICT COURT
OFFENSE CHARGED		A CRIMINAL ACTION - IN U.S. LISTRICT COURT
VOLATION: Courn 1: Title 18 U.S.C. § 1505 (Obstruction)(Class D felony)   Millinor   Mil		
VOLUME:  Count I: Title 18 U.S.C. 5 1505 (Obstruction)(Class D felony)    Minor   Misdemeanor   Misd	——OFFENSE CHARGED ——— SUPERSEDING	7/274 74
DEFENDANT - U.S   DEFENDANT	OLATION: Petty	SAN FRANCISCO DIVISION
PENALTY: 5 years imprisonment (18 U.S.C. § 15005) \$250,000 fine (18 U.S.C. § 357 (bl)(3)) 3 years supervised release (18 U.S.C. § 3582(b)(2)) \$100 special assessment (18 U.S.C. § 3582(b)(2)) \$100 special assessment (18 U.S.C. § 3582(b)(2)) \$100 special assessment (18 U.S.C. § 3013(a)(2)(A))  PROCEEDING  Name of Complaintant Agency, or Person (& Title, if any)  FEDERAL BUREAU OF INVESTIGATION  person is awaiting trial in another Federal or State Court, give name of court  this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District  this is a represecution of charges previously dismissed which were dismissed on motion of:  U.S. ATTORNEY DEFENSE  This prosecution relates to a pending case involving this same defendant were recorded under  MAGISTRATE CASE NO.  MAGISTRATE CASE NO.  ANNABEL MCCLELLAN  CFT T COURT NUMBER  OF IN CUSTODY  Has not been arrested, pending outcome this proceeding if not detained give date any prior summons was served on above charges  If so no Bail or Release from (show District)  IS IN CUSTODY  4) On this charge  5) On another conviction Pederal State of Awaiting trial on other charges  If answer to (6) is "Yes", show name of institution  Has detainer Yes give date filed  DATE OF Month/Day/Year ARREST  Or if Arresting Agency & Warrant were not  DATE TRANSFERRED Month/Day/Year  Month/Day/Year		C DEFENDANT - U.S
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PENALTY: 5 years imprisonment (18 U.S.C. § 1505) \$250,000 fine (18 U.S.C. § 3571(b)(3)) 3 years supervised release (18 U.S.C. § 3582(b)(2)) \$100 special assessment (18 U.S.C. § 3013(a)(2)(A))  PROCEEDING  Name of Complaintant Agency, or Person (& Title, if any)  FEDERAL BUREAU OF INVESTIGATION  person is awaiting trial in another Federal or State Court, give name of court  this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District  this person/proceeding is transferred from another district per (circle one) FRCrp 20, 21, or 40. Show District  Is IN CUSTODY  1) So no Bail or Release from (show District)  Is IN CUSTODY  4) On this charge  5) On another conviction  6) Awaiting trial on other charges  If answer to (6) is "Yes", show name of institution  Has detainer Yes  been filed? No  Month/Day/Year  1 If "Yes"  give date  filed  DATE OF  ARREST  Or if Arresting Agency & Warrant were not  DATE TRANSFERRED  TO U.S. CUSTODY  Month/Day/Year  TO U.S. CUSTODY	□ meanor	ANNABEL McCLELLAN
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ttorney (if assigned)  ADAM A. REEVES	ADAMA DEELEG	•
PROCESS: ADDITIONAL INFORMATION OR COMMENTS ————————————————————————————————————		RMATION OR COMMENTS
PROCESS:  ☐ SUMMONS ☐ NO PROCESS* ☑ WARRANT Bail Amount:		Bail Amount
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* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment	☐ Arraignment ☐ Initial Annearance	Where defendant previously apprehended on complaint, no new summons or
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Comments		

VENUE: SAM FRANCISCO

UNITED STATES OF AMERICA,

V.



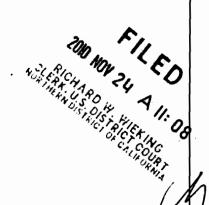
ANNABEL McCLELLAN,

DEFENDANT(S).

# INDICTMENT

VIOLATIONS: 18 U.S.C. § 1505 (Obstruction)

MELINDA HAAG (CABN 132612) United States Attorney





#### UNITED STATES DISTRICT COURT

### NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

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ANNABEL McCLELLAN,

Defendant.

C R 10 0860 WHA

VIOLATION: 18 U.S.C. § 1505 (Obstruction)

SAN FRANCISCO VENUE

### INDICTMENT

The Grand Jury charges:

1. From on or about October 19-20, 2009, and continuing through on or about

November 6, 2009, in the Northern District of California and elsewhere, the defendant,

ANNABEL McCLELLAN,

corruptly influenced, obstructed, and impeded, and endeavored to influence, obstruct, and impede, the due and proper administration of the law under which a pending proceeding was being had before a department or agency of the United States, to wit, the Securities and Exchange

28 INDICTMENT

## Case3:10-cr\_00860-WHA Document1 Filed11/24/10 Page4 of 4

Commission, and its investigation into securities fraud and insider trading relating to purchases and sales of securities issued by public companies in the United States known to the Grand Jury. All in violation of Title 18, United States Code, Section 1505. DATED: November 23, 2010 A TRUE BILL **FOREPERSON** MELINDA HAAG United States Attorney J. DOUGLAS WILSON Acting Criminal Chief 

Approved as to form:

ADAM A. REEVES

Assistant United States Attorney

**INDICTMENT**